

**CHINA CERTIFICATION & INSPECTION (GROUP) SHENZHEN CO., LTD.****SAMPLE
FACTORY
EVALUATION
REPORT**Report No. CPS014XXXXX
Report Date: 13 Mar, 2014

Overall Result

Acceptable

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GENERAL INFORMATION

Vendor Name	<i>Anonymous Client</i>
Factory Name	<i>Anonymous Factory</i>
Audit Location	<i>Longgang District, Shenzhen City, Guangdong Province, China</i>
Audit Date	<i>12 Mar, 2014</i>
Auditor(s)	<i>Tommy Peng</i>

CLASS RATING:

Section		Maximum Points Possible	Points Achieved	Percentage
B.	<i>Really Check</i>	20	14	70%
C.	<i>Quality Management</i>	9	8	88.8%
D.	<i>Incoming Material Control</i>	8	8	100%
E.	<i>Manufacturing Process</i>	9	7	77.7%
F.	<i>Final Inspection & QA Testing</i>	10	10	100%
G.	<i>Packaging/ Warehouse</i>	6	6	100%
Total Score		62	53	85.4%

*Remark: 1. Yes = 1 score, No = 0 score, N/A = No score count.**2. No satisfactory: no official register or score low 50; needs improvement: 70% below; acceptable: 70% above; excellent: 90% above*

Inspected by:	Tommy Peng	Approved by:	XX
Reviewed by:	Lena Wu		xxxx - Inspection Manager of CCIC



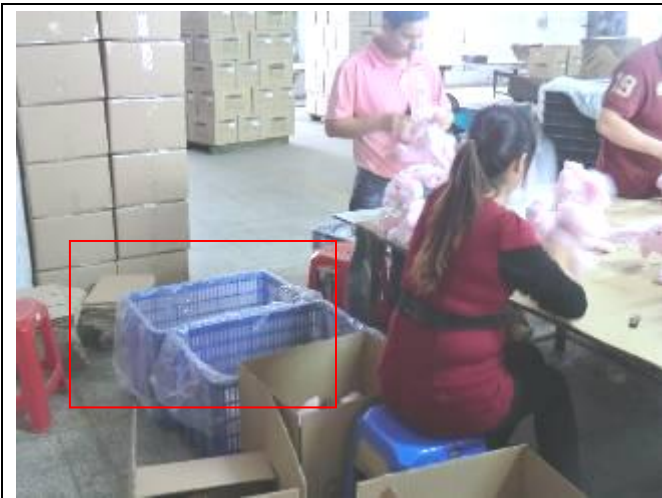
REMARKS AND OBSERVATIONS

(Auditor's comments or remarks)

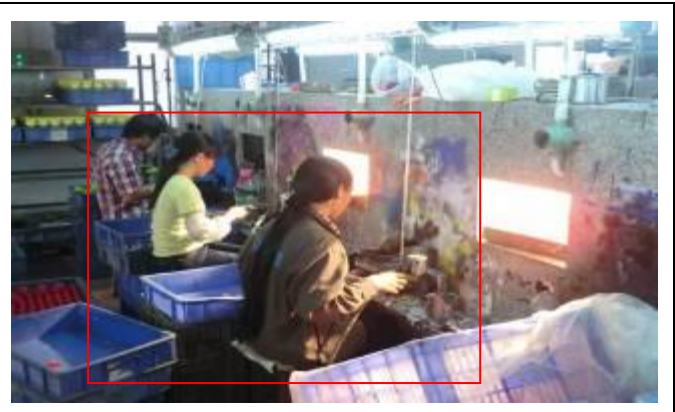
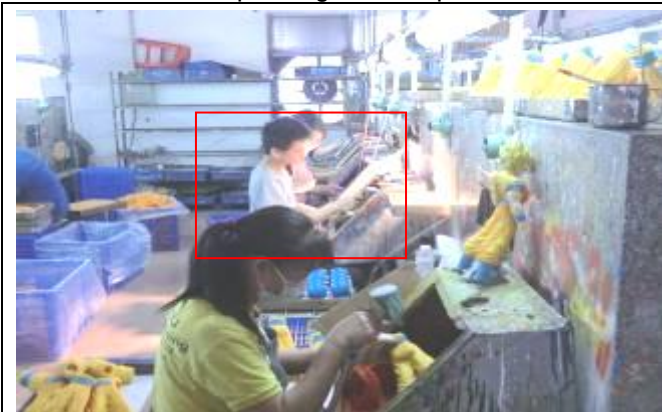
1. During plant tour, found names in local language and the chemical safety labels are not posted on chemical containers.




2. During plant tour, found factor QC in workshop did not keep any inspection records and non-conforming products are not labeled at all.



3. Some workers in painting workshop did not wear mask to isolate from the odour.




4. Factory did not allow copying audit information during audit.

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AUDIT CONCLUSION			
<input type="checkbox"/> No satisfactory	<input type="checkbox"/> Needs Improvement	<input checked="" type="checkbox"/> Acceptable	<input type="checkbox"/> Excellent

A. FACTORY PROFILE			
1	Parent company name	N/A	
2	Factory name	Anonymous Factory	
3	Factory address	XXXXX, Longgang District, Shenzhen City, Guangdong	
4	Factory telephone/fax number	Tele: xxxx	Fax:xxxx
5	Factory contacts/email	XXX	
6	Date of establishment	29 December, 2004	
7	No. of Business License	XXXX	
8	Total operating space	5,100 m ²	
9	Products category	Plastic toys , Electronic toys	
10	Production capacity	Daily:800-3,000 Pieces	Monthly:90,000 Pieces
11	Number of total employee	108	
12	Number of workers	Total: 85	Male: 50 Female: 35
13	Number of management	13	
14	Number of quality control people	3	
15	Number of engineers	4	
16	Number of English speaking people	3	
17	Ownership of the factory	Group enterprise	
18	Export license if any	30467	
19	Export percentage	70%	
20	Annual sale	USD 6,000,000	
21	Subcontract	N/A	
22	Material source: Certificate of raw material (if applicable):	N/A	
23	Total Lead Time (from getting order to delivery)	15-20days	
24	Payment Terms (L/C, T/T, etc)	T/T	
25	Nearest seaport / airport	Yantian seaport	Shenzhen airport

B. VENDOR/ FACTORY REALLY CHECK:			OBSERVATION			
1.	Reality of certificates					
1.1	Are any certificates or report available?			YES	NO	N/A
	<input type="checkbox"/> BSCI <input type="checkbox"/> SA8000 <input type="checkbox"/> ICTI <input type="checkbox"/> ISO9001 <input type="checkbox"/> ISO14001 <input type="checkbox"/> GS <input type="checkbox"/> BRC <input type="checkbox"/> IFS <input type="checkbox"/> Other			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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1.2	Is the appearance in the factory according to the available certificate?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2.	Emergency			
2.1	Are fire extinguishers available & accessible?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2	Are fire alarms installed in each working section?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.3	Are emergency lights & EXIT signs installed at all emergency exits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.4	Are there line and arrow marking of evacuation route floor?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.5	Are there evacuation plot plans on each floor?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.6	Are all fire equipment, emergency exits & aisles unblocked?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Available protective equipment			
3.1	Are personal protective equipment warning signs posted in working areas?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.2	Do the workers wear earplugs, gloves, goggles, masks, rubber boots (if necessary)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3.3	Are first-aid kits easily accessible and unlocked?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Liquids & chemicals			
4.1	Are MSDS sheets for chemicals posted in chemical using and storage areas?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2	Are names in local language and chemical safety labels posted on chemical containers?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4.3	Are secondary containers available for chemicals?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Inspection			
5.1	Is Incoming Quality Check (IQC) records or reports available?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5.2	Are Production Quality Check (IQC) records or reports available?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5.3	Are Final Inspection (FI) records or reports available?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6.	Technical machines			
6.1	Are safety instructions for operating machines posted in working areas?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.2	Are safety covers (pulley guards, needle guards, belt guards) of moving parts installed on machines?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Young workers			
7.1	Are all employees above the age of child labor (above 16 in CN, about 15 in other Asian countries) and without forced labor?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.2	Are ID copies for all employees available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.3	For BD, CN, ID, IN, PH, PK & VN, are health examination reports of young workers (16-18 in CN, 15-18 in other Asian countries) available?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7.4	For CN, ID, IN, PH, PK, TH, & VN, are registration records of young workers under local Labor Bureau available? (BD-Bangladesh, CN-China, ID-Indonesia, IN-India, PH-Philippines, PK-Pakistan, TH-Thailand, VN-Vietnam)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

C. QUALITY MANAGEMENT		OBSERVATION		
		YES	NO	N/A
1.	Is there an independent Q.C. manager or supervisory level person to head the Q.C. function?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2.	Is there a formal quality manual or related quality document in the factory?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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3.	Are quality meetings held and have record?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Are adequate documented internal training programs provided for all quality control personnel?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Are the factory's quality control personnel trained and have training record before they perform their job duty as outlined in the quality manual?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Is there a developed quality control plan / work instruction showing inspection procedures used during manufacturing?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Does the factory use up-to-date international, national, clients, or its own standards to perform internal quality control on products? (Standard used: GB6675-2008)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Are customers or approved internal specifications properly filed and accessible?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	Are in-line and final inspection reports kept in master files?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D. INCOMING MATERIAL CONTROL

1.	Are raw materials kept in controlled, segregated locations to prevent quality deterioration?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	Is there COA (Certificate of Authenticity) available provide to QC to confirm the product purity and all the indexes in the specification (must comply with the attached specification)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	If raw materials need inspection prior to production, are they properly inspected and have records?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Are inspected raw materials labeled for identification?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Are defective raw materials clearly isolated in designated areas or identified?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Are written inspection instructions and guidelines available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Does the factory have dangerous product storeroom to store flammable, explosive or toxic materials?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Does the factory have kept the record of Material Safety Data Sheet (MSDS) for flammable, explosive or toxic materials?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

E. MANUFACTURING PROCESS

1.	Are there written work instructions for workers to follow?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	Do workers follow the work instructions to perform their work?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Are start up samples 100% checked by engineers/quality staff to see all safety & functional aspects are being met?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Are there regular Q.C. inspections on products during manufacturing?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Are there written inspection instructions for QC to follow?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Are inspection results recorded?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7.	Are there approval samples attached to working stations and production lines for reference where appropriate?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Are manufactured products stored in container and protected from contamination?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	Are non-conforming products properly segregated or clearly identified?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>



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10.	Does the factory perform chemical analysis tests in production line to ensure products' compliance to specification (if applicable)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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F. FINAL INSPECTION & Q.A.TESTING

1.	Are inspection & testing performed on products prior to packing?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	Does the factory per final inspection on products before shipment?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Are there adequate, clearly written criteria/instructions available for inspectors to follow for final inspection?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Are formal written inspection reports used?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Are inspection reports properly filed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Are there records of rejected lots?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Are rejected lots clearly isolated in designated area or identified?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Are testing equipment calibrated on a regular schedule with records and tags attached?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	Is the sampling size for final inspection adequate to meet customer's standards?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.	Does the factory have basic testing equipment such as tension gauge?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

G. PACKAGING/ WAREHOUSE

1.	Is the packaging area isolated from production and assembly areas?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	Are packed master cartons properly stored to avoid damage or contamination?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Are master cartons placed on pallets?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	If sharp tools were unavoidable in packaging area, is there a written procedure to prevent these sharp tools from being packed into the product?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Is the warehouse clearly isolated from production areas?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Is the warehouse clean and tidy?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

H. Photos



Factory gate



Company name



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Building



Office



Show room



Show room



Show room



Show room



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Show room



Show room



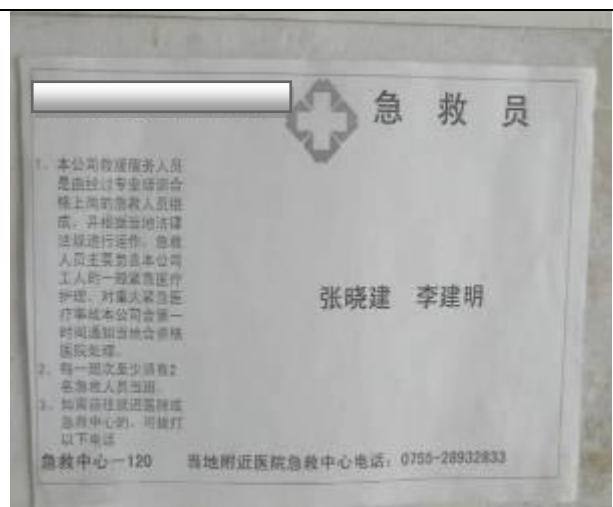
Test room



Injection workshop



First-aid box in workshop



Emergency Contact Number



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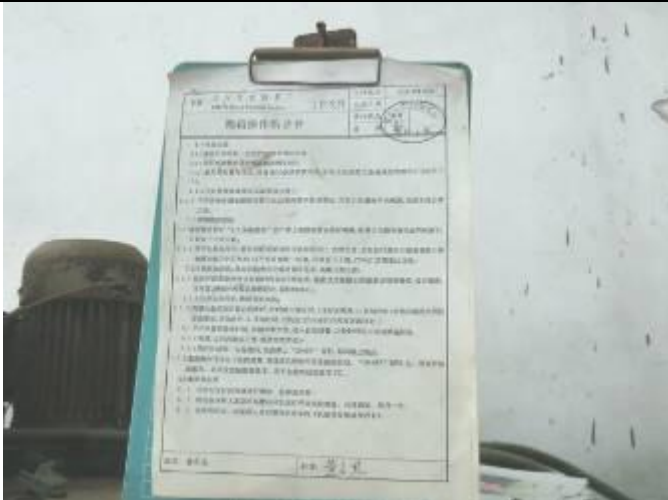
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Vinyl workshop



Oven



Work instruction



Exit and fire alarm



Painting workshop



Painting workshop



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Handcraft workshop



Handcraft workshop



Incoming material warehouse



Finished product warehouse



Canteen



Accommodation



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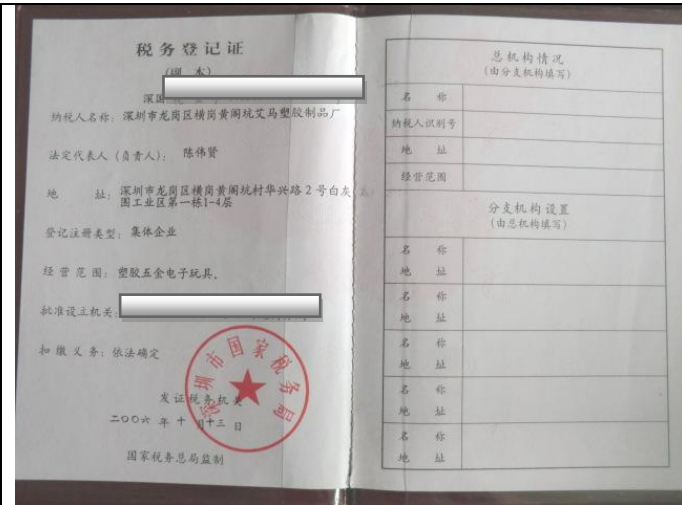
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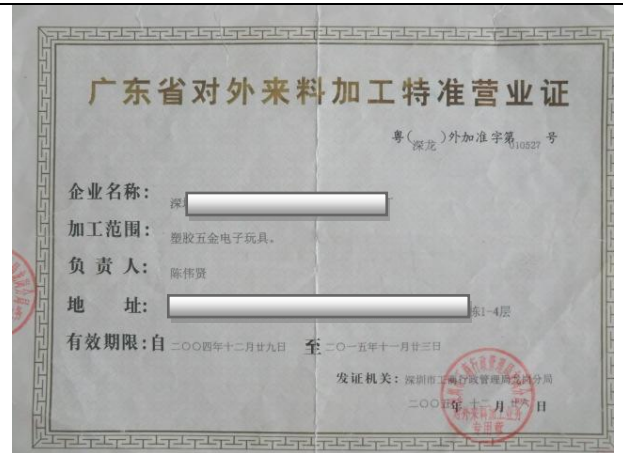
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Tax Registration Certificate



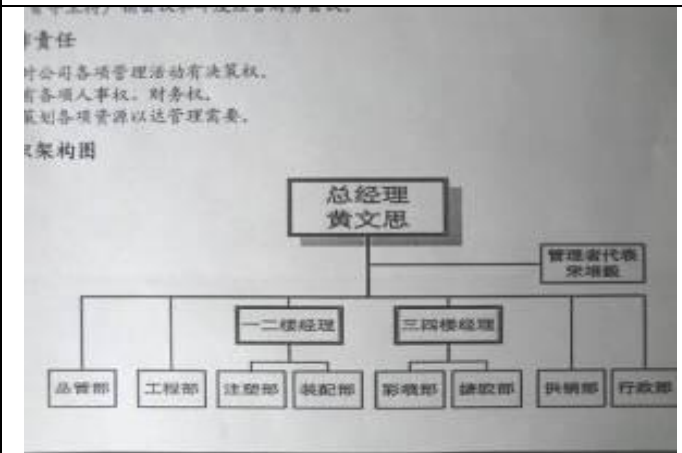
Business license



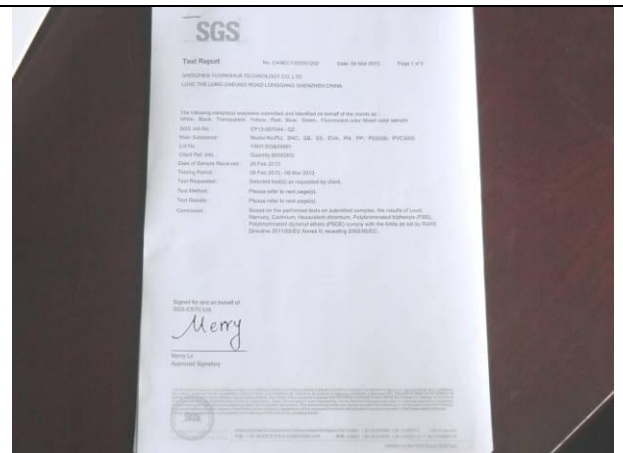
Factory Organization Code Certificate



Export license



Organizer chart of factory



Test report



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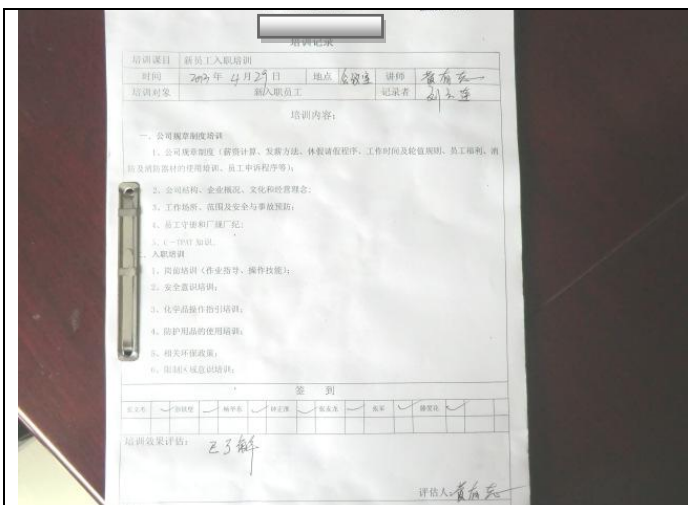
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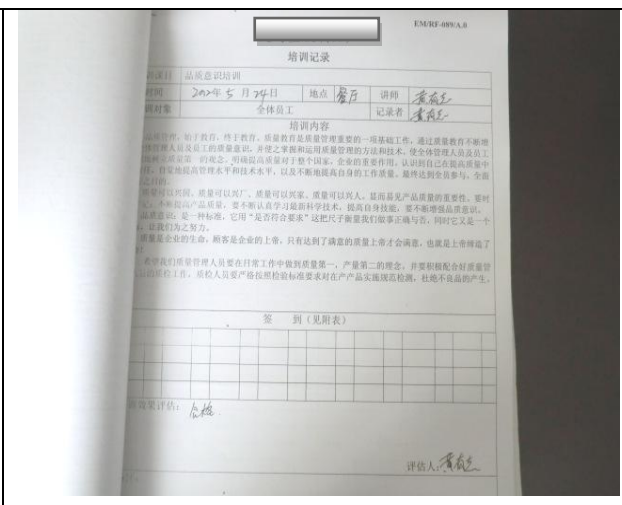
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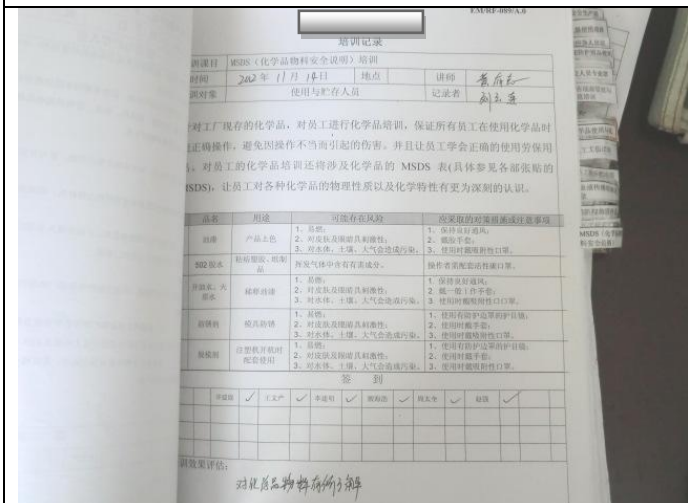
Acceptable



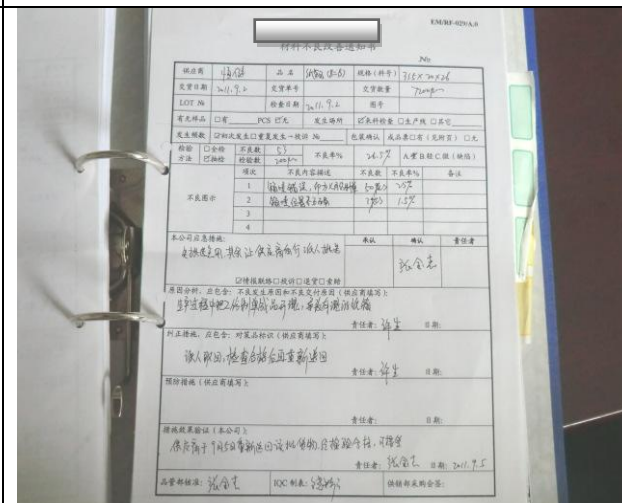
Training record



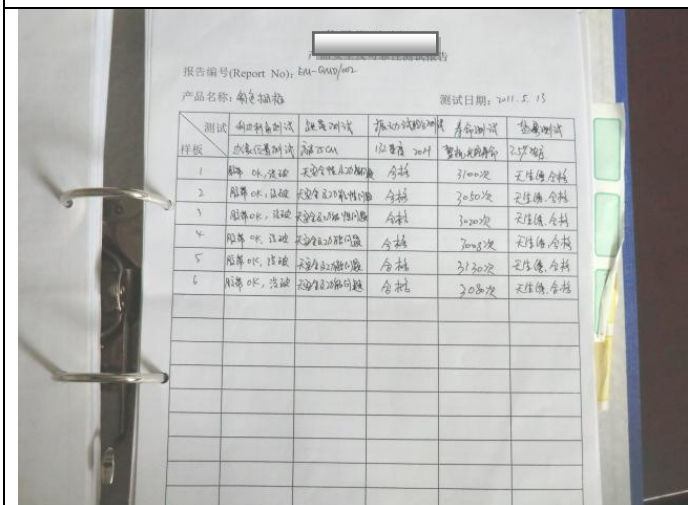
Training record



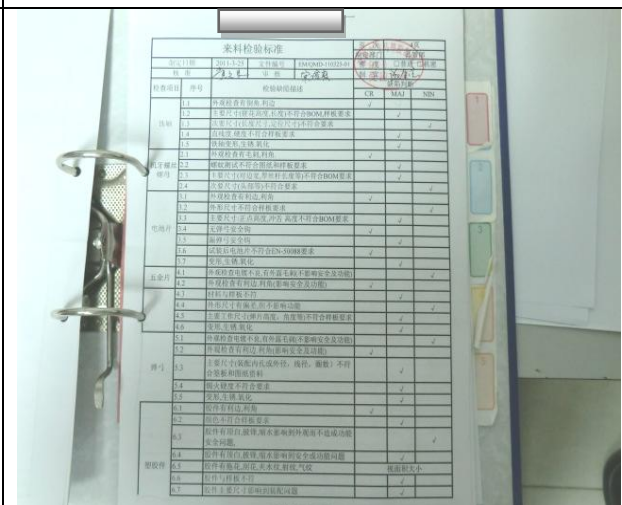
Training record



Improved report



Test report



Inspection standard for IQC



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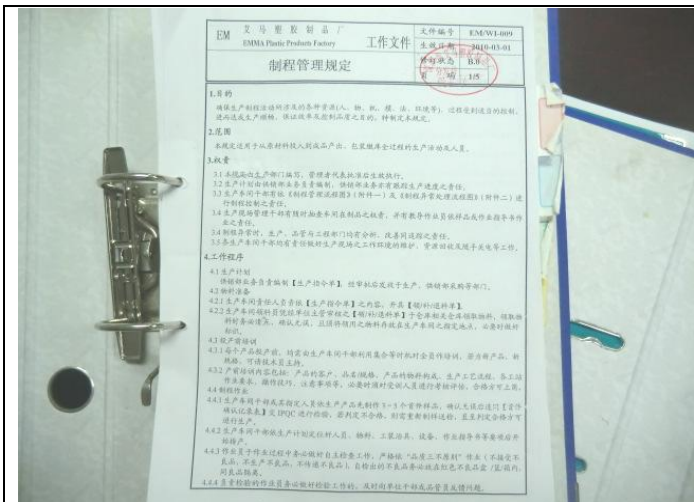
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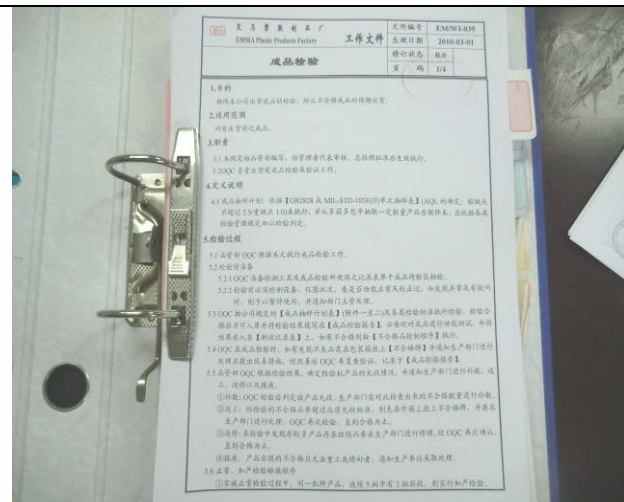
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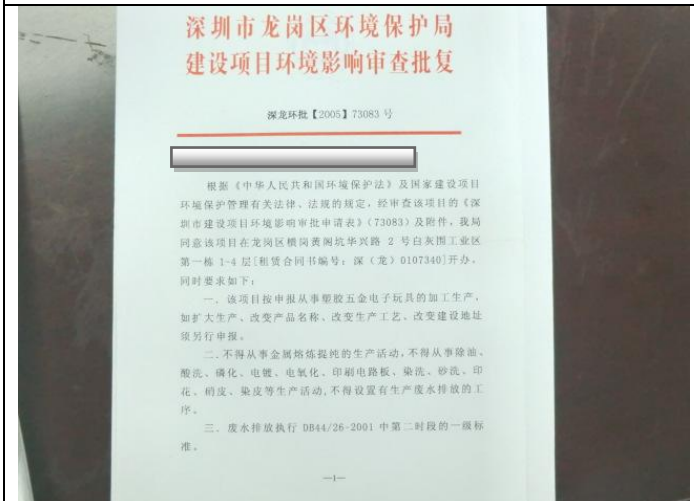
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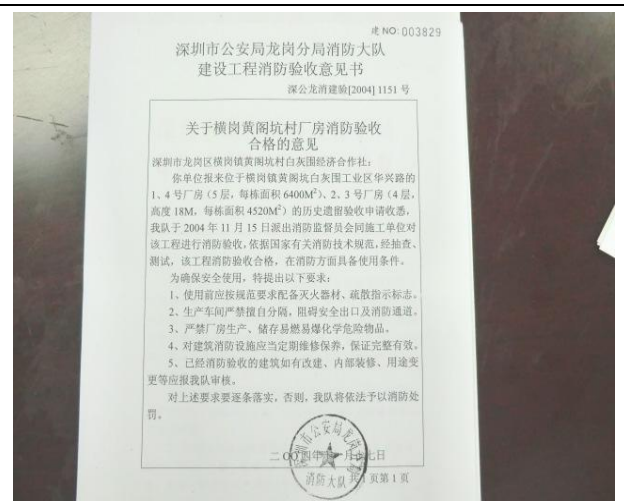
Inspection standard for IPQC



Inspection standard for OQC



Environmental protection approve report



Fire safety report

Note: The above reflects our findings at the time and place of inspection based on comparison of your sample with random samples selected in accordance with a previously agreed random statistical sampling standard. This report/certificate does not discharge or release sellers/suppliers from their commercial, legal or contractual obligations with regard to quality/quantity of delivery nor does it prejudice the buyer's right of claim toward sellers/suppliers for compensation for any apparent and/or hidden defects not detected during our random inspection or occurring thereafter. For inspection services rendered before 100% of a production lot has been produced, our findings will only extend to the items that were produced at the time of inspection. This report/certificate is not the evidence of shipment. Our services, including reports and certificates, are subject to the General Conditions of Service of CCIC Shenzhen which have been sent to your company. They can be re-sent upon written request.

*****THE END OF REPORT*****